



Baird & Co.
Bullion Merchants
Est. London 1967

Account Opening Form

Corporate Account

On-Boarded By:

To be completed by Baird & Co. staff only

Baird & Co Ltd, PO Box 71581, London, E6 9NF • Tel: 020 7474 1000 • Fax: 020 7540 2991 • www.bairdmint.com

I/We confirm that I/We wish to open a metal account in Company/Legal Entity name with Baird & Co. Ltd. and enclose documentation as requested. I/We agree to the terms and conditions as advised (available at www.bairdmint.com).

(tick box)

SECTION 1

Date: / /

Type: Ltd Sole Trader Partnership Plc LLC Other

Registered Name:

Trading Name:

if different from above

Company Registration Number:

Date of Incorporation: / /

Country of Incorporation:

VAT Registered: Yes / No

VAT Number: if applicable

Telephone:

Mobile:

E-mail:

Fax:

Address:

Post Code:

Bank Account Details:

Bank/Building Society:

Address:

where account is held

Post Code:

Sort Code:

Account number:

Account name/s:

(as appears on statements)

SECTION 2

Shareholders Owning Over 25% Equity of Company/Legal Entity from Section 1

Name:

Address:

if different from the company
address please supply

Post Code:

Date of Birth:

/ /

Percentage of Shareholding:

Telephone:

Mobile:

E-mail:

Nationality:

Country of Residence:

Name:

Address:

if different from the company
address please supply

Post Code:

Date of Birth:

/ /

Percentage of Shareholding:

Telephone:

Mobile:

E-mail:

Nationality:

Country of Residence:

Name:

Address:

if different from the company
address please supply

Post Code:

Date of Birth:

/ /

Percentage of Shareholding:

Telephone:

Mobile:

E-mail:

Nationality:

Country of Residence:

If any named shareholders in section 2 are companies/legal entities please complete section 3 beneficial owners and also complete section 4 Controllers, otherwise progress to section 5 Bearer Shares question.

SECTION 3

Beneficial Owners Owning Over 10% Equity of Company/Legal Entity named in Section 2

Name:

Address:

if different from the company address please supply Post Code:

Date of Birth: / / Percentage of Shareholding:

Telephone:

Mobile:

E-mail:

Nationality:

Country of Residence:

Name:

Address:

if different from the company address please supply Post Code:

Date of Birth: / / Percentage of Shareholding:

Telephone:

Mobile:

E-mail:

Nationality:

Country of Residence:

Name:

Address:

if different from the company address please supply Post Code:

Date of Birth: / / Percentage of Shareholding:

Telephone:

Mobile:

E-mail:

Nationality:

Country of Residence:

If any beneficial owners named in section 3 are companies/legal entities please supply on additional sheet a breakdown of the shareholders of the named company/legal entity or until the natural person(s) who are the beneficial owners of the company/legal entity named in section 1 are identified and also complete section 4. Controllers, otherwise progress to section 5.

SECTION 4

Controllers

Please supply a list of all Directors, CEO's, COO's or equivalent, who are the guiding mind of the Company/Legal Entity named in Section 1, who can commit the company to significant action and who control the company/legal entity day to day operations.

Name:

Address:

if different from the company address please supply

Post Code:

Date of Birth:

Position Held:

Telephone:

Mobile:

E-mail:

Nationality:

Country of Residence:

Name:

Address:

if different from the company address please supply

Post Code:

Date of Birth:

Position Held:

Telephone:

Mobile:

E-mail:

Nationality:

Country of Residence:

Name:

Address:

if different from the company address please supply

Post Code:

Date of Birth:

Position Held:

Telephone:

Mobile:

E-mail:

Nationality:

Country of Residence:

Continued on next page

SECTION 4 (continued)

Controllers

Please supply a list of all Directors,CEO's, COO's or equivalent,who are the guiding mind of the Company/Legal Entity named in Section 1, who can commit the company to significant action and who control the company/legal entity day to day operations.

Name:

Address:

if different from the company address please supply

Post Code:

Date of Birth:

/ /

Position Held:

Telephone:

Mobile:

E-mail:

Nationality:

Country of Residence:

Name:

Address:

if different from the company address please supply

Post Code:

Date of Birth:

/ /

Position Held:

Telephone:

Mobile:

E-mail:

Nationality:

Country of Residence:

SECTION 5

Bearer Shares

Are there any Bearer Shares issued within the company/legal entity named in Section 1: (if yes please provide details on a separate sheet)

Yes / No

SECTION 6

Verification Details: Individuals

PLEASE PROVIDE ONE PHOTOGRAPHIC IDENTIFICATION AND ONE PROOF OF ADDRESS FOR ALL PERSONS NAMED IN SECTION 2 AND IF APPLICABLE SECTIONS 3,4 AND ALL ADDITIONAL SHEETS.

For basic due diligence, we accept scanned or photocopied documents. We may be obliged to apply enhanced due diligence procedures and may require sight of original documents or certified copies plus a face to face meeting along with additional information if required.

We will notify you if this is the case prior to any transaction being completed.

Photo Identification:

- Driving license
- Passport
- Firearms License (with photo)
- Other Government Issued ID (e.g. EU/EEA Member State Identity Photocard, national Identity Photocard)

Proof of Address: (under 6 months old)

- Utility Bill
- Bank Statement
- Copy of Electoral Register
- Information held by reference agencies (eg. Experian or Equifax)
- Driving License (If not already used as proof of identification)
- Government issued document (e.g. HMRC Letter, TV License, Vehicle Tax Renewal)

SECTION 7

Verification Details: Company

Copies of the following documents must be provided in respect of the company/ legal entity named in Section 1.

- Certificate of Registration
- Memorandum & Articles of Association (If a Ltd Company)
- Partnership Deed • Articles of Partnership (If a partnership)
- VAT Registration Certificate (If applicable)
- Recent Bank Statement in the name of the legal entity

Board Resolution / Agreement:

- Please provide a Board Resolution / Agreement confirming the wish to open an account with Baird & Co., confirming agreement to our Terms & Conditions along with your understanding & consent to the Data Collection, as noted in the accompanying Confidentiality & Data Protection Agreement. Both of which can also be found at: www.bairdmint.com

SECTION 8

Signatures

Please supply signatures for all named persons in Section 2 and if applicable sections 3, 4 and all addition sheets.

Signature:

Print Name: **Date:**

Signature:

Print Name: **Date:**

Signature:

Print Name: **Date:**

Signature:

Print Name: **Date:**

Signature:

Print Name: **Date:**

Signature:

Print Name: **Date:**

SECTION 9

Officers & Signatories

Please provide details of anyone other than people named in section 2 and if applicable sections 3, 4 and all additional sheets, who can act or trade on behalf of the company/legal entity named in Section 1 opening the account.

Name:

Position:

Signature:

Name:

Position:

Signature:

Name:

Position:

Signature:

Name:

Position:

Signature:

Name:

Position:

Signature:

Name:

Position:

Signature:

Name:

Position:

Signature:

SECTION 10

Additional Information

Services Required: Physical Bullion Storage Physical bullion purchase or sale Reserve Account Industrial Products
tick as many as apply

Laboratory Services Wedding Rings Other (give details below:)

Source of Funds: Income Cash Savings Re-allocation of Assets Other (give details below:)
tick as many as apply

Source of Metal if applicable

Refined: Primary (Mined) Secondary (Jewellery/Industrial Scrap) Other (give details below:)
tick as many as apply

Investment Bullion: Previously Bought from Baird & Co. Previously Bought from Other Bullion Merchant Inheritance/Gift Other (give details below:)
tick as many as apply

Anticipated number of transactions (per annum): 1 - 5 6 - 15 15 +

Anticipated transaction size: £0 - 25k £25 - 100k £100 - 250k £250k +

Anticipated Strategy: Short Term Speculation (<1 year) Medium Term Investment (1 - 5 years) Long Term Strategy (5 years +) Other (give details below:)

Nature of Business: Financial Services Firm Miner Jeweller Scrap Dealer Other (give details below:)

Description of proposed transactions with Baird & Co.:

Do you intend to make or request third-party payments? Yes No

Baird and Co must be notified to any relevant and material changes to all sections completed via email to: sales@bairdmint.com and additional sheets supplied if applicable.

Confidentiality and Data Protection Agreement

Before providing us with any information relating to either yourself &/or other identifiable living individuals in connection with this Agreement, you should be aware of & provide the following information to all other identifiable living individuals whose data is to be supplied.

(a) The categories of personal data that you are providing to us;

(b) Our identity, and that you/they can contact our data protection officer at dataprotection@bairdmint.com or via post at our address: Data Protection Officer, Data Protection, PO Box 71581, London, E6 9NF.

(c) that we may process received personal data as data controller for the purposes of:

(i) administering and operating your account;

(ii) complying with applicable regulations;

(iii) our legitimate interests, particularly in the course of the operational support and development of our business, including to evaluate customer service, efficiency and cost, as well as risk management purposes;

(iv) carrying out credit, money laundering and conflict checks for the prevention of fraud and financial crime;

(v) exercising and defending our legal rights anywhere in the world;

(vi) complying with legal and regulatory requests made to us anywhere in the world;

(vii) reporting to and/or being audited by, national and international regulators and complying with court orders associated with us.

(d) that this processing is permitted by applicable data protection law because it is

(i) necessary for the purposes of our legitimate interests in pursuing the purposes set out above (which are overridden by prejudice to the relevant individuals' privacy); and/or

- (ii) in certain cases necessary so that we can comply with applicable regulations;
- (e)** that we may disclose received personal data to persons in the categories identified in (c) above and from (f) – (i) below;
- (f)** that this may involve transfer of received personal data to any country, including countries outside the European Economic Area, but that in those cases, except where the relevant country has been determined to ensure an adequate level of data protection by the European Commission or we need to make the transfer in order to perform a contract concluded in the interests of the relevant individual, we will ensure the transferred personal data is protected by a data transfer agreement. You may obtain further details of these transfers and agreements by contacting our Data Protection officer at dataprotection@bairdmint.com
- (g)** that we will retain received personal data for a minimum of 5 years to meet legal, regulatory and business requirements. Retention periods may be extended if we are required to preserve personal data in connection with litigation, investigations and proceedings; and
- (h)** that you/they have rights of access to, and rectification or erasure of, received personal data and restriction or objection to its processing, which you/they can exercise by contacting us (see paragraph (b) above) excluding circumstances denoted in section (g); and
- (i)** can lodge complaints about our processing of received personal data with the Office of the Information Commissioner (www.ico.org.uk)



Baird & Co.
Bullion Merchants
Est. London 1967

Baird and Co Ltd
PO Box 71581
London E6 9NF

www.bairdmint.com

Company Registration No: 2269558